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Design of hierarchical and distributed MPC control systems with robustness tools

Marcello Farina, Bruno Picasso, Riccardo Scattolini
DEI-Politecnico di Milano



1. Introduction

2. Hierarchical MPC systems

- Basic architecture
- Extensions (performance & reconfigurability)
- Conclusions

3. Distributed MPC systems

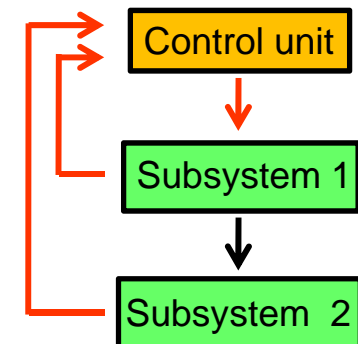
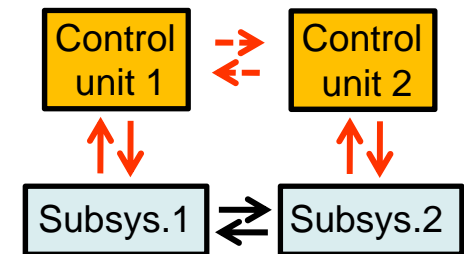
- A “tube-based”, non cooperative DMPC algorithm
- Conclusions

4. Concluding remark



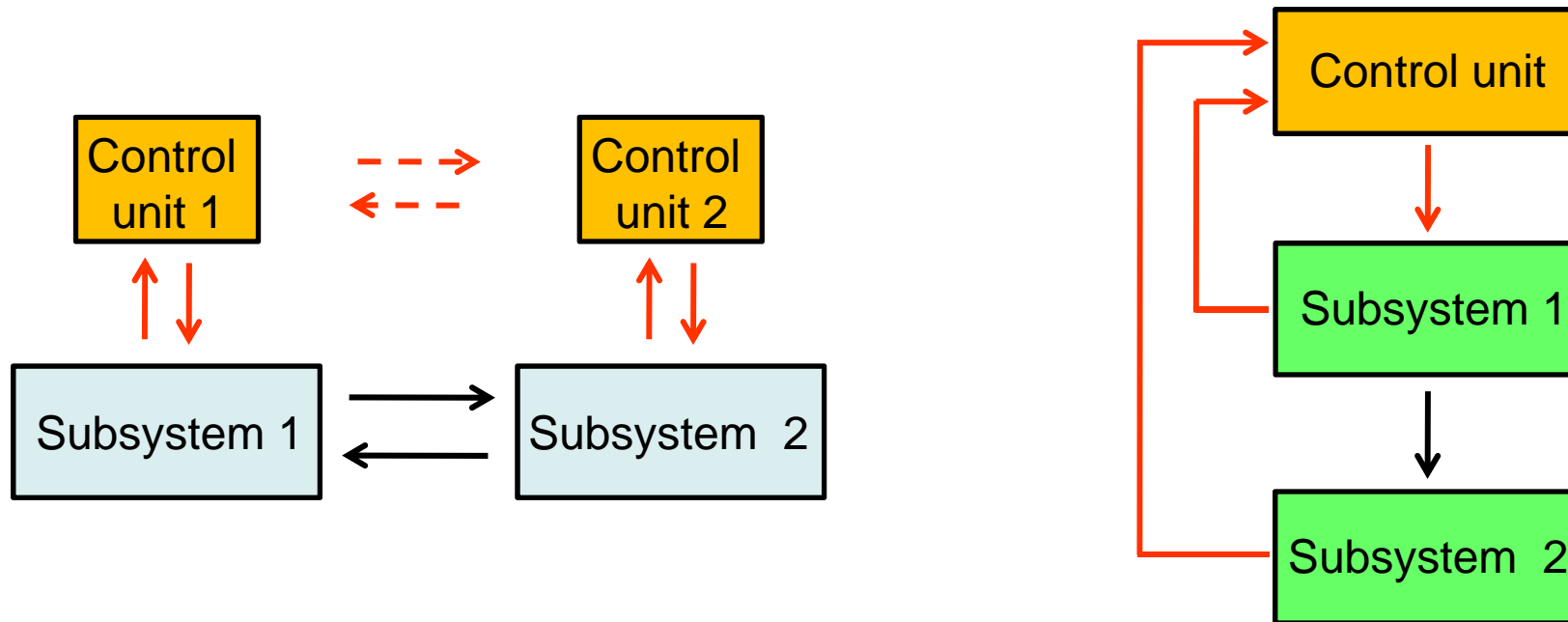
Motivations for **distributed** / **hierarchical** control:

- Reduce the computational load
- Reduce the communication load
- Improve the robustness with respect to failures
 - in the transmission of information
 - in the central control unit
- Improve the modularity and the flexibility of the system
- Consider different goals at different time scales (Real-Time Optimization)
- Synchronize subsystems working at different time scales



There has hence been a long time interest for decentralized / distributed [Siljak '78... '91] and hierarchical control [Mesarovic '70, Findeisen '80, ...] for **large-scale** and **complex systems**. Recent contributions include: [Engell '07, Tatjewski '08 and Scattolini '09 - *"An overview on distributed and hierarchical MPC"*]).





In both **distributed** and **hierarchical** structures, there are two possible approaches to the control synthesis allowing to deal with the interacting subsystems:

1. Game theory
2. **Robust control**



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2. Hierarchical MPC systems

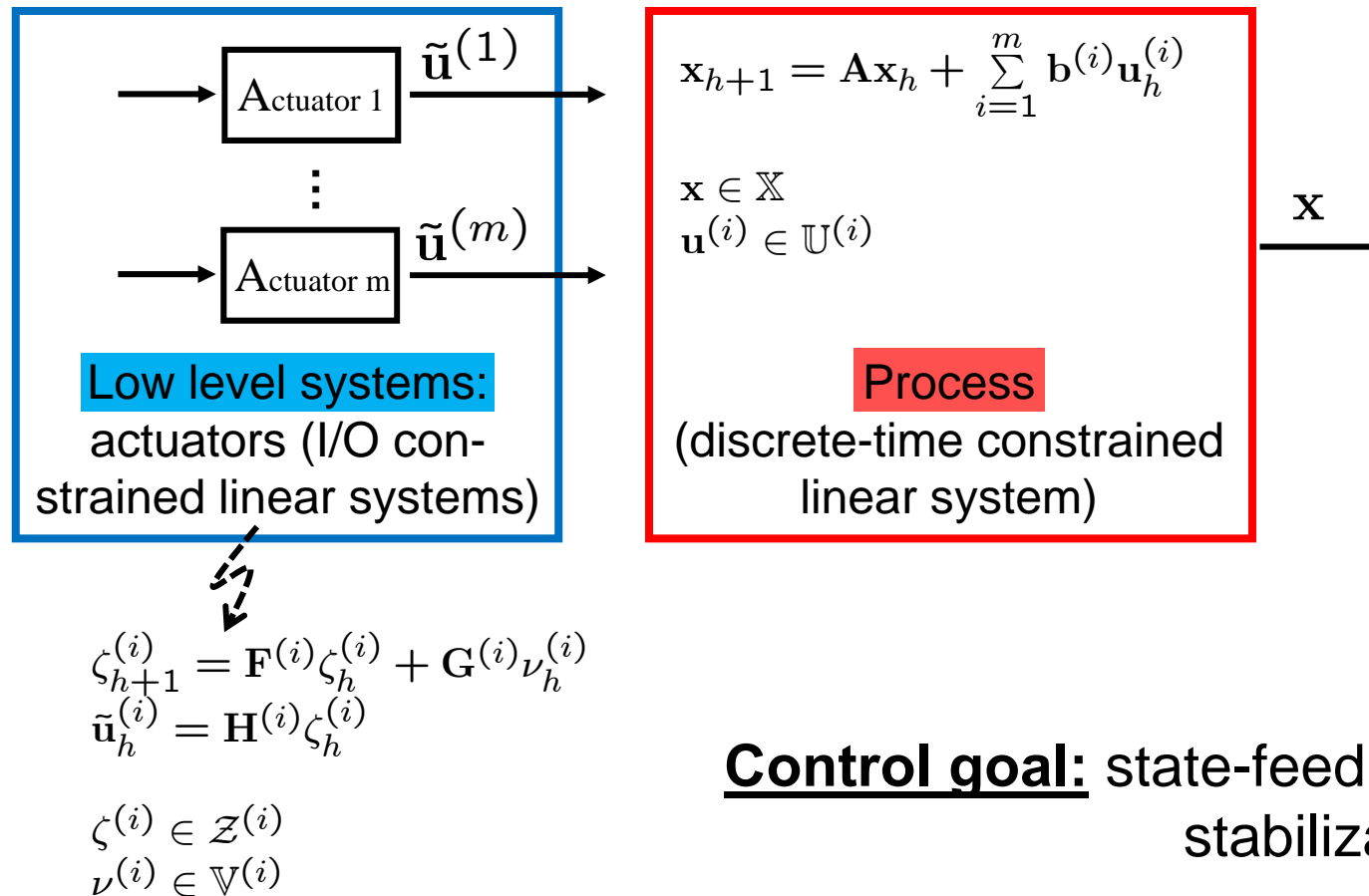
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Typical structure in many control applications:

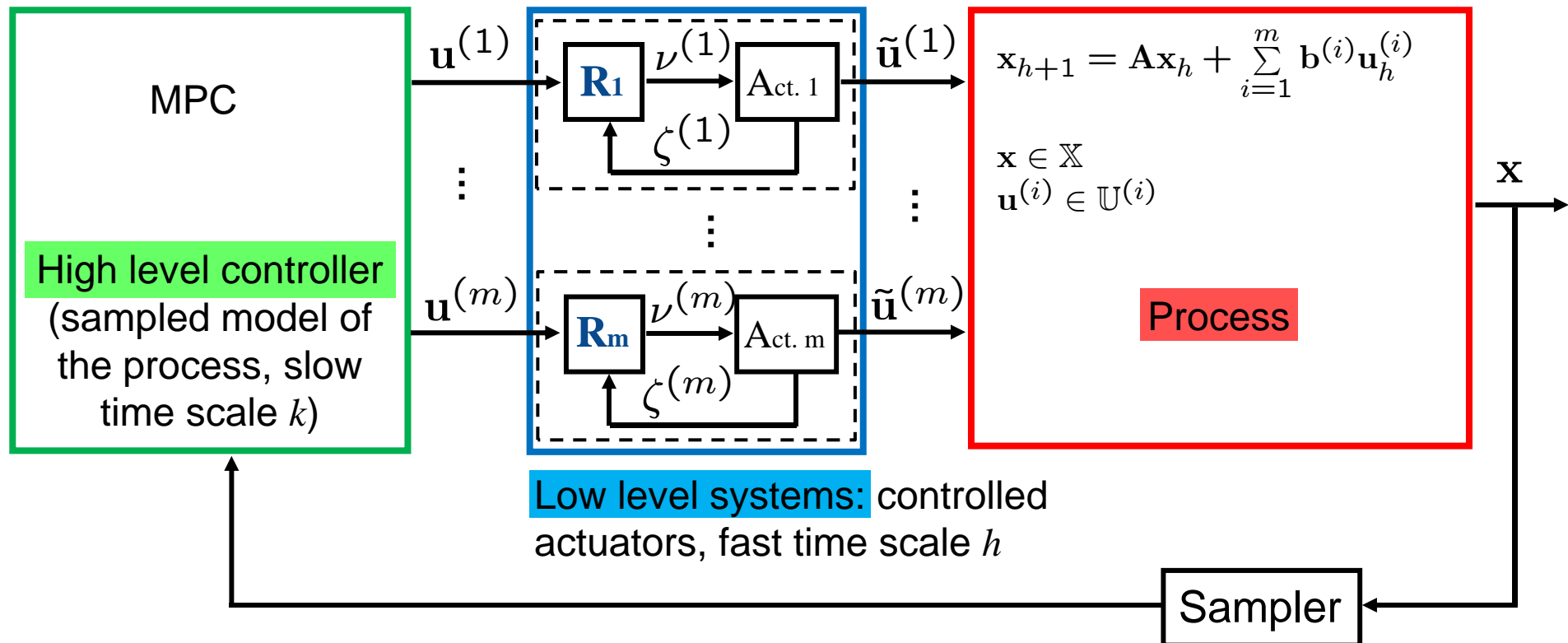
- Process control [Skogestad '00]
- Automotive [Brahma et al. '00]
- Production planning [Golenko-Ginzburg et al. '93]



Hierarchical MPC systems: basic architecture

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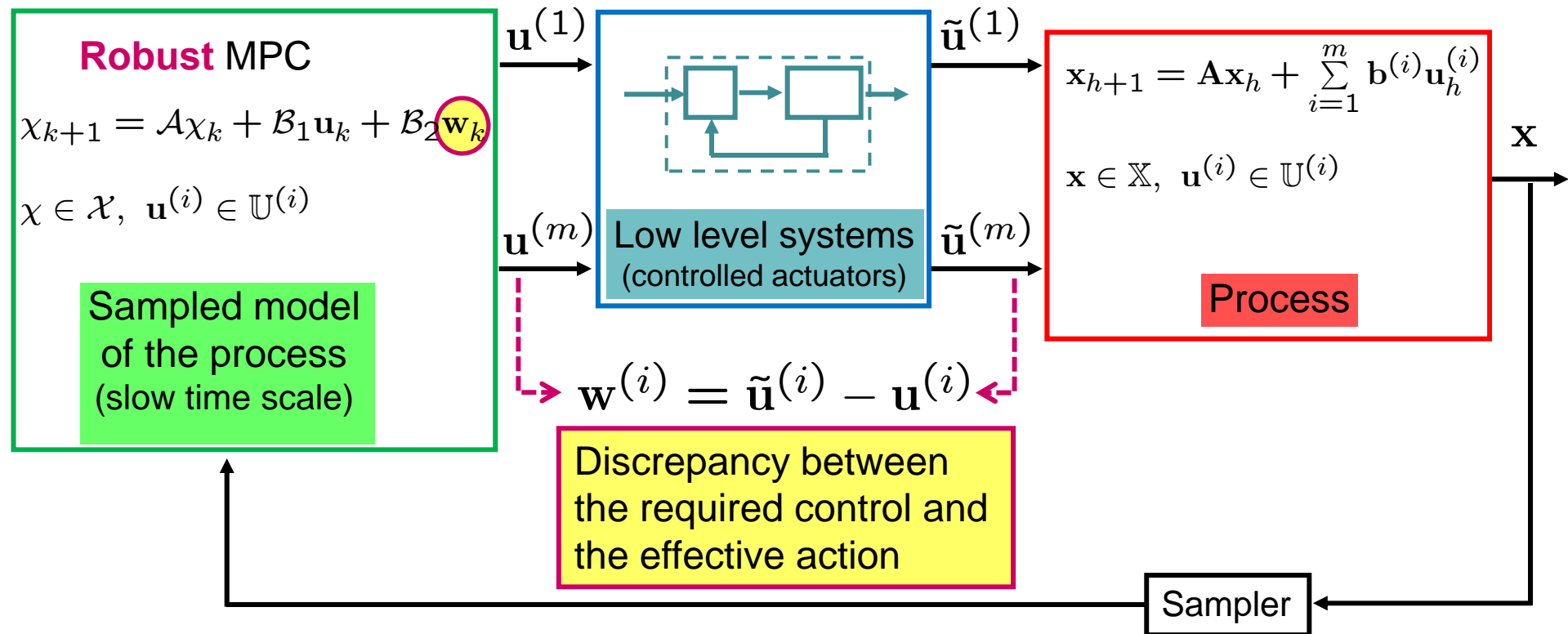
A two-layer hierarchical (cascade) control system:



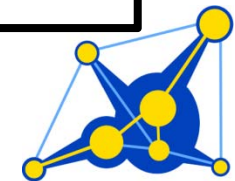
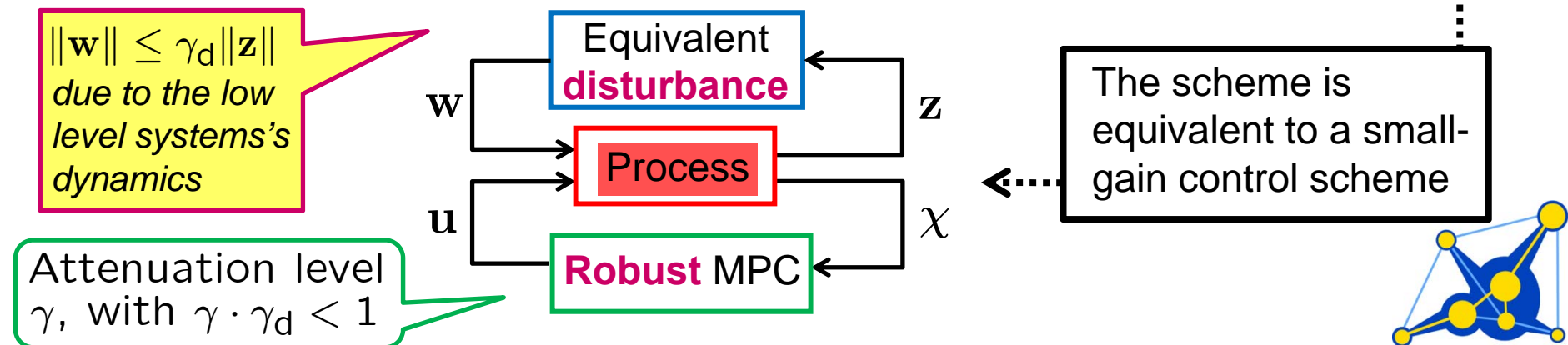
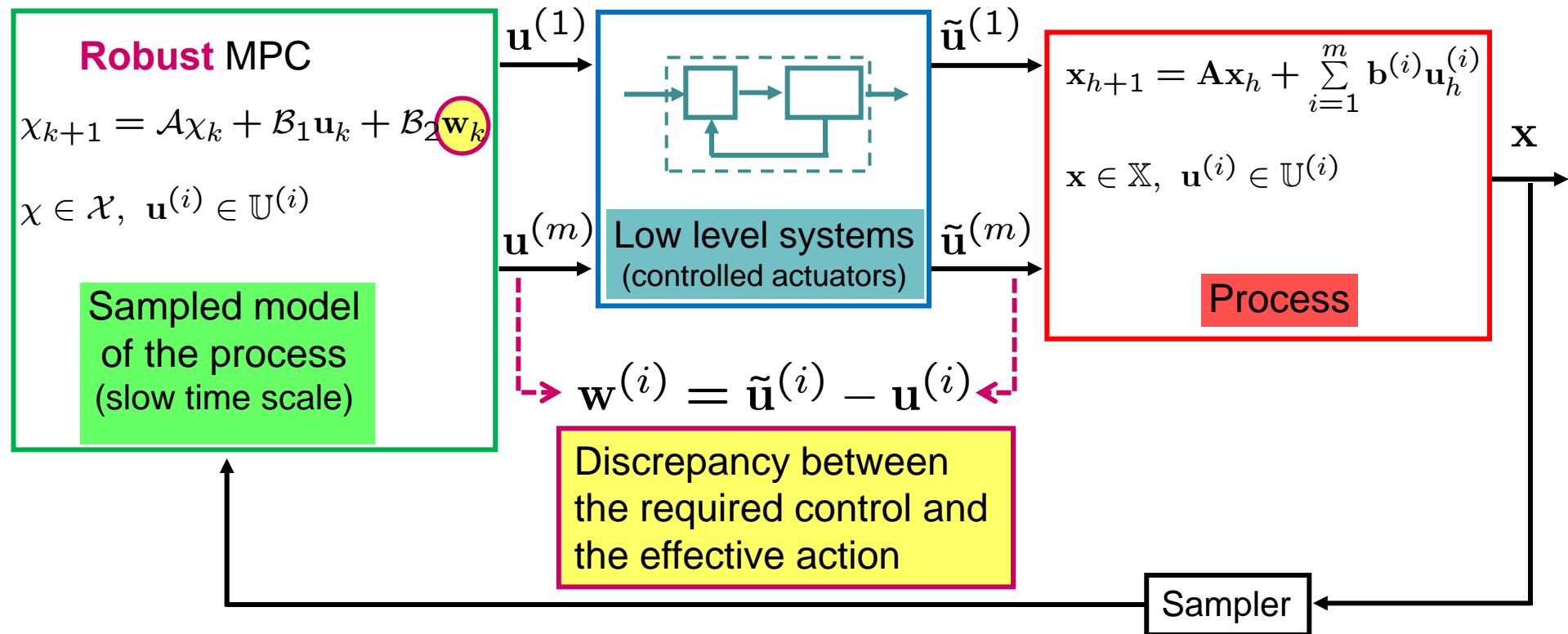
To be designed: **MPC** (high-level controller) and R_i 's (low-level regulators)



Hierarchical MPC systems: the robust control approach 8



Hierarchical MPC systems: the robust control approach 9



Two possible scenarios:

1. The high level unit can simulate the low level actuators
 \Rightarrow the disturbance \mathbf{w} is predicted (γ_d is locally available)
2. The disturbance \mathbf{w} is not predictable by the high level unit
but γ_d is globally available

Main result

In both cases, a **robust MPC** controller is designed so that:

- The high level controller is robustly stabilizing in the slow time scale k ;
- Convergence to the equilibrium for the overall control system is guaranteed in the fast time scale h .



Scenario 1 (\mathbf{w} is predictable):

$$\min_{\mathcal{F}} J(\chi, \mathcal{F}, N_p)$$

subject to the dynamics, the constraints
+ a suitable auxiliary law

where

$$J = \sum_{j=0}^{N_p-1} (\|\mathbf{z}_{k+j}\|_{Q_z}^2 - \gamma^2 \|\mathbf{w}_{k+j}\|_{Q_w}^2) + V_f(\chi_{k+N_p})$$

and

$$\mathcal{F} = [\mathbf{u}_k \quad \mathbf{u}_{k+1} \quad \cdots \quad \mathbf{u}_{k+N_c-1}]$$

is a sequence of control **values**

Features: the **high** and **low** level designs are only partially decoupled but a global γ_d is not needed and the optimization is less demanding

Scenario 2 (only γ_d is known):

$$\min_{\mathcal{F}} \max_{\mathcal{D}} J(\chi, \mathcal{F}, \mathcal{D}, N_p)$$

subject to the dynamics, the constraints
+ a suitable auxiliary law

where

and

$$\mathcal{D} = [\mathbf{w}_k \quad \mathbf{w}_{k+1} \quad \cdots \quad \mathbf{w}_{k+N_p-1}]$$

is a sequence of disturbance values

$$\mathcal{F} = [\mathbf{u}_k \quad \mathbf{u}_{k+1}(\cdot) \quad \cdots \quad \mathbf{u}_{k+N_c-1}(\cdot)]$$

is a sequence of control **policies**

Features: the **high** and **low** level designs are decoupled but γ_d and minmax optimization are needed

- Perfect reference tracking of the low level systems – i.e., *frequency decoupling* between the inner and outer loops – is not assumed: the low level dynamics is fully taken into account
- Even in the absence of perfect frequency decoupling, the robust control approach allows one to largely decouple the control designs at the **high** and at the **low** level



- Perfect reference tracking of the low level systems – i.e., *frequency decoupling* between the inner and outer loops – is not assumed: the low level dynamics is fully taken into account
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Example:

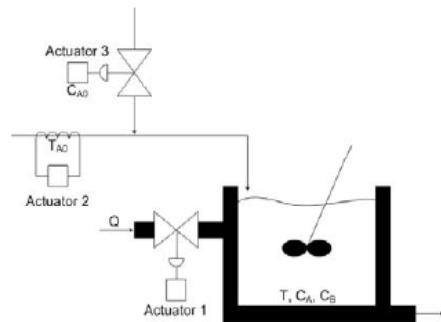
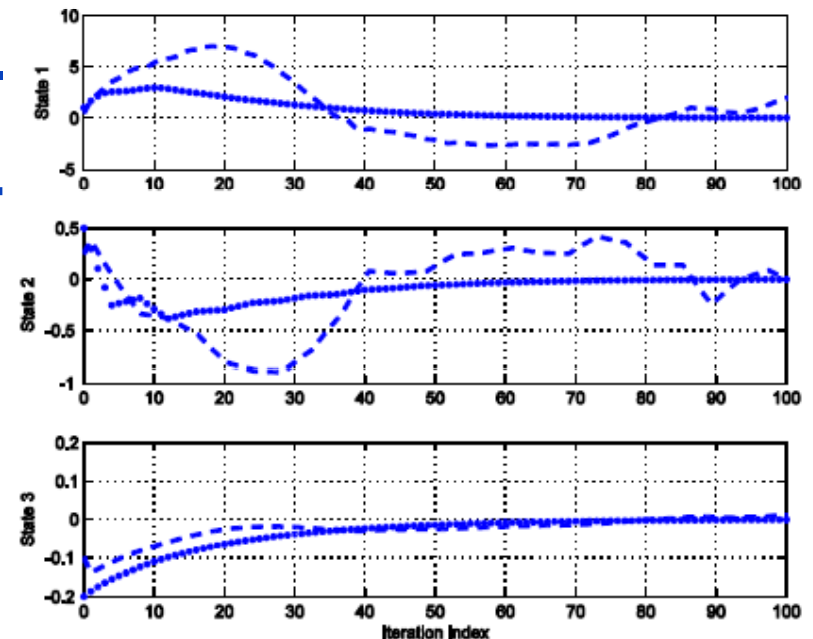
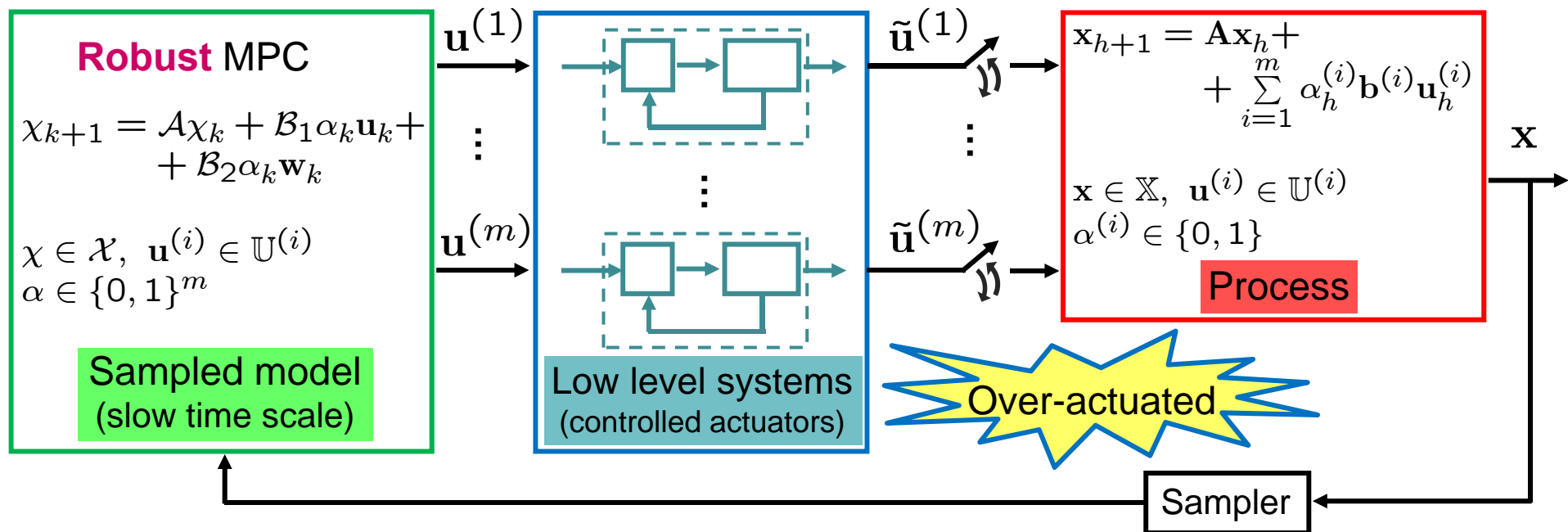


Figure 1: CSTR schematic plant.

Robust high-level MPC —
 VS
 non-Robust algorithm (neglected low level dynamics) - - -

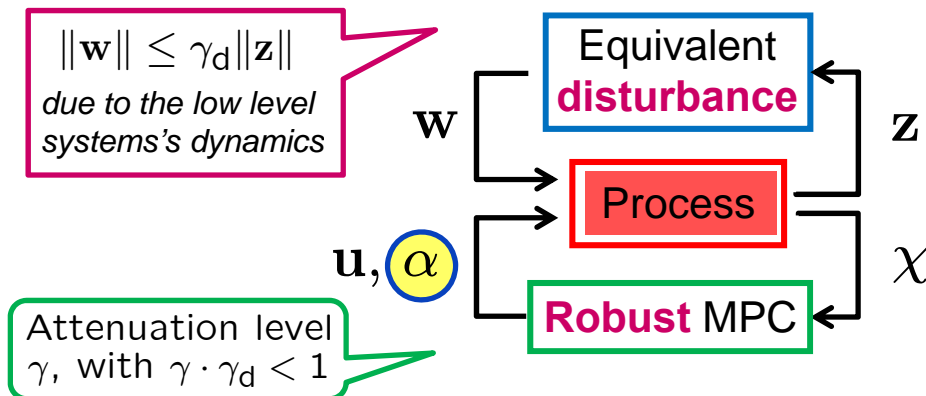
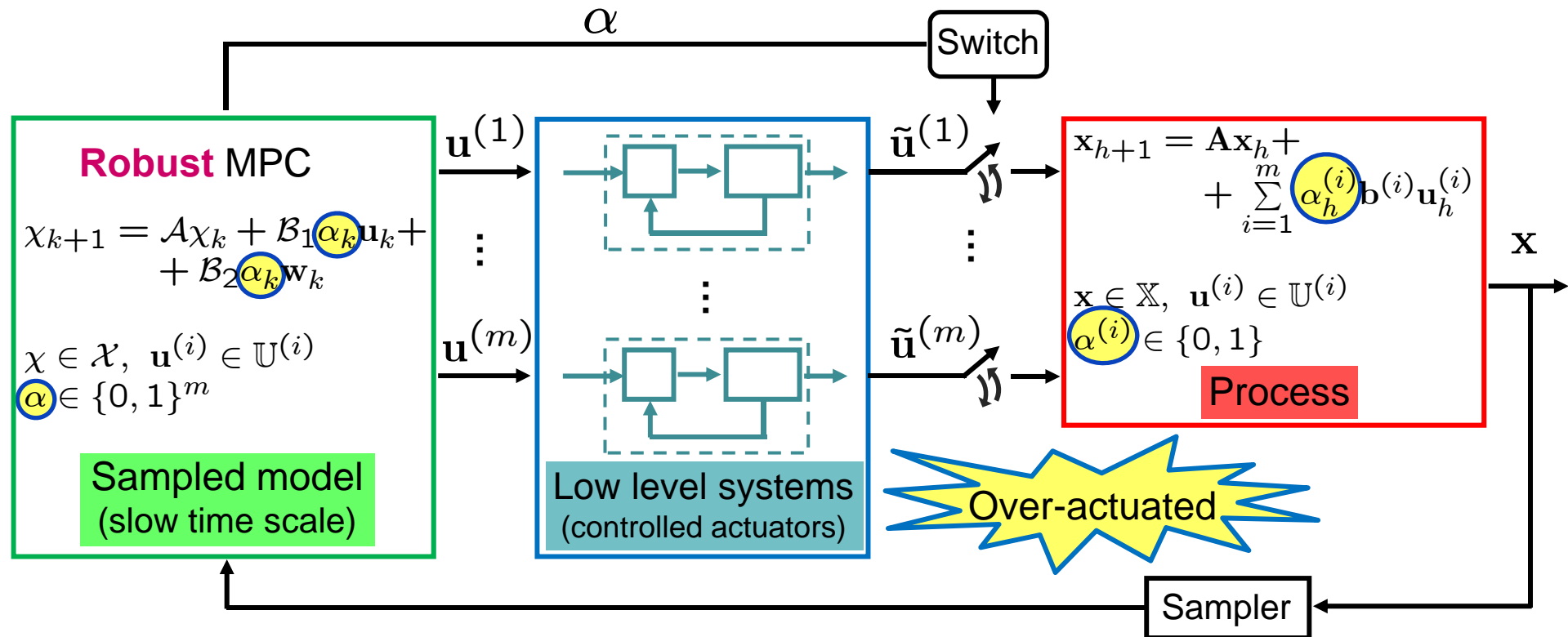




Related works, e.g.:

- Load sharing [Eitelberg '99]
- Fault tolerance [Mhaskar et al. '05 (with MPC), Casavola et al. '07]
- ...

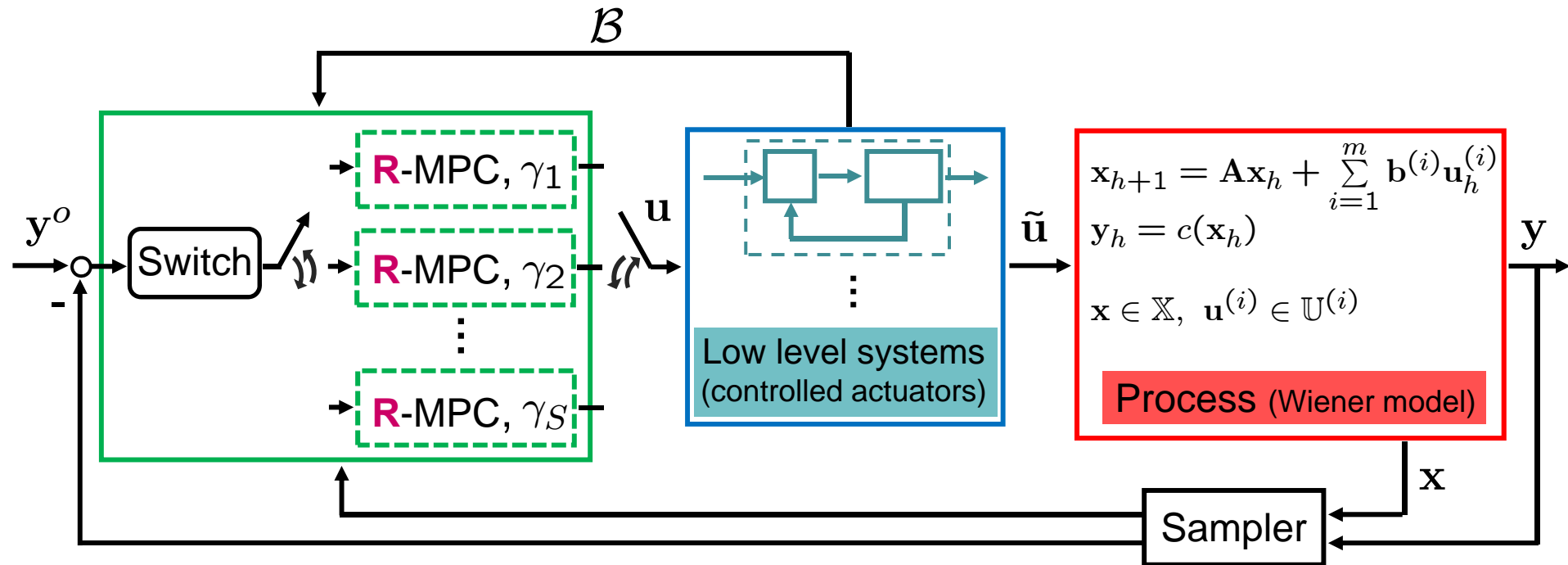




Features:

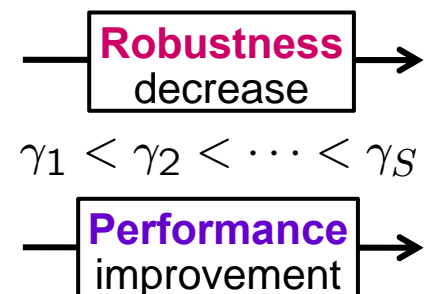
- Control load can be balanced
- The optimization is a Mixed Integer Quadratic Programming problem because of the presence of the boolean variable α

Extensions: performance, high level switching controller 16



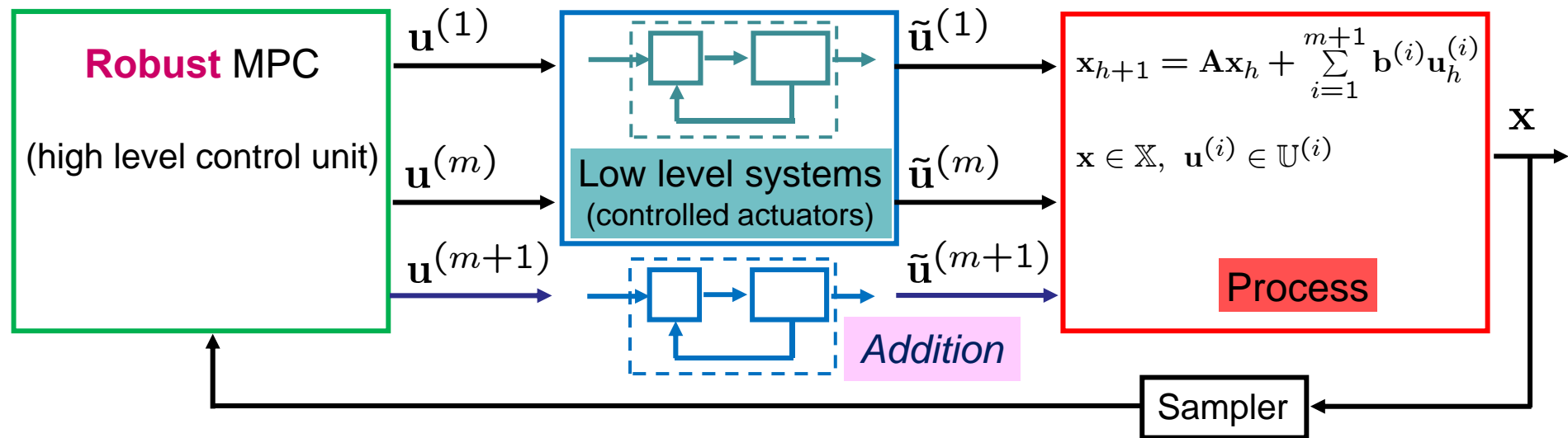
Performance vs robustness :

- Less robustness (a larger γ_i) enforces a faster response of the low level systems, thus it ensures better performance
- Feasibility (i.e., the small-gain condition) is guaranteed: if the actuators are not fast enough, an alert signal \mathcal{B} is sent to the high level which switches to a more robust (smaller γ_i) mode

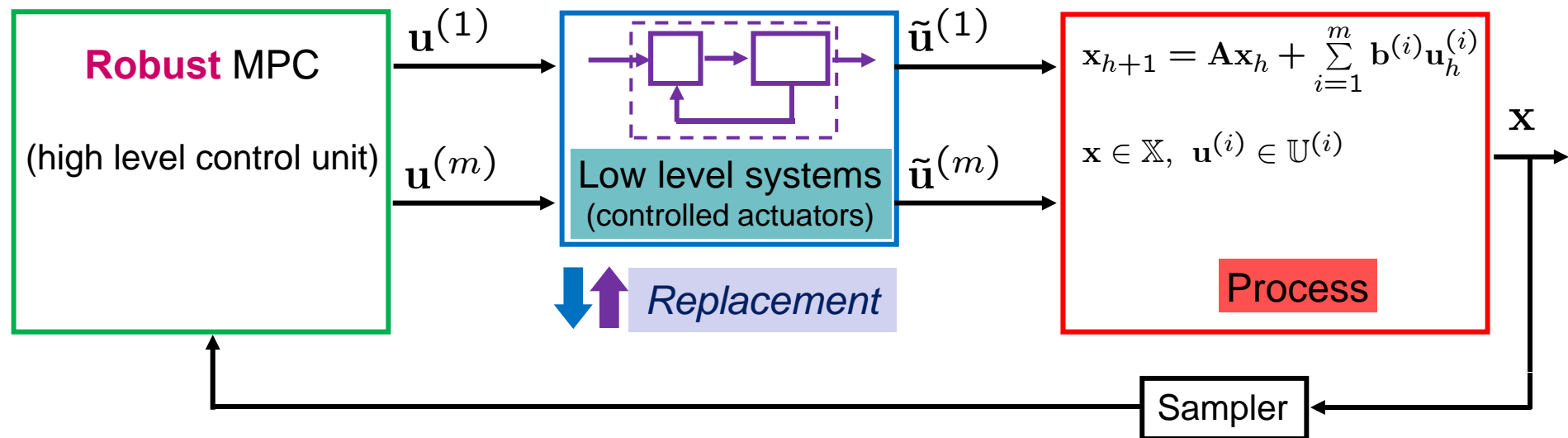
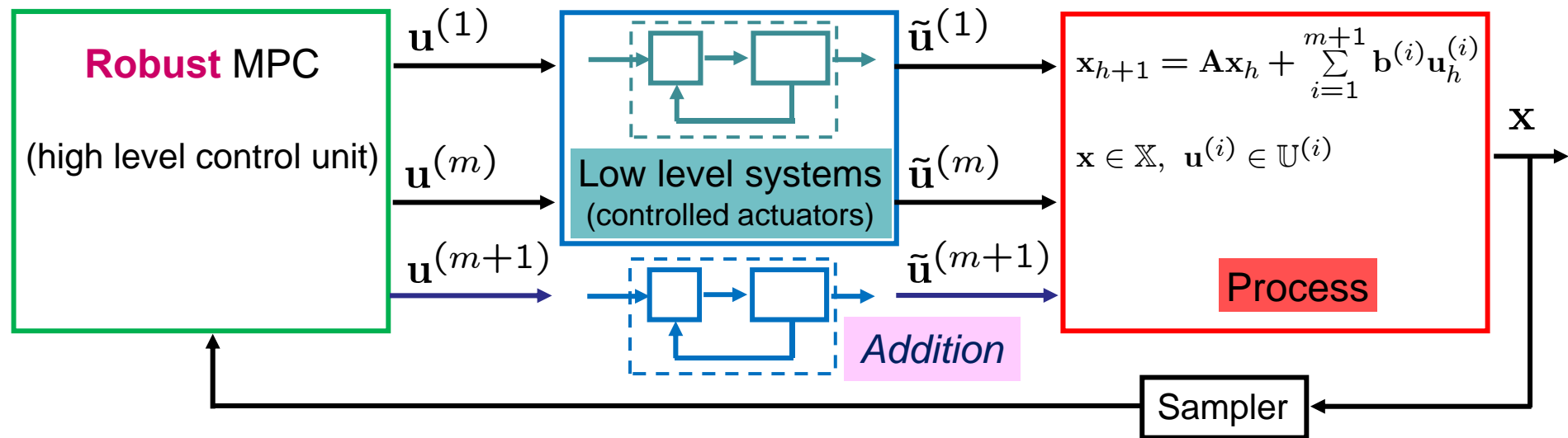


A hybrid system: stability is ensured by a sufficiently large average dwell-time

Extensions: reconfigurability (plug & play [Stoustrup '09]) 17



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Should one completely re-design the high level control unit ?

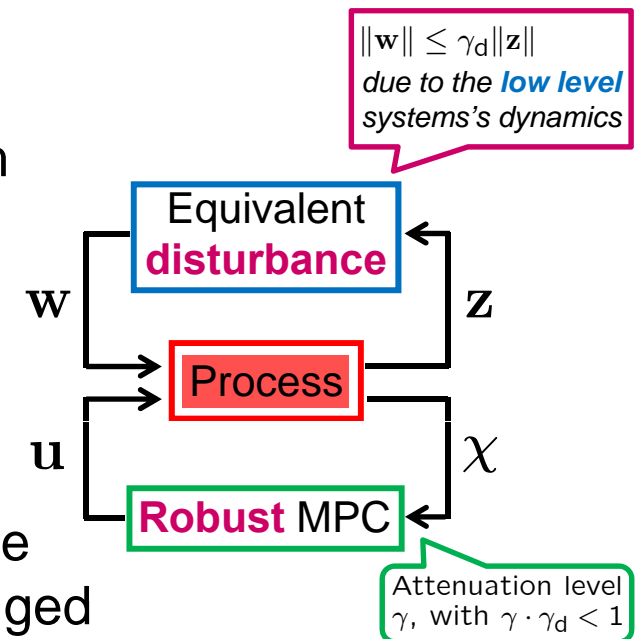
In the MPC approach reconfigurability is achieved
if the auxiliary law can be kept unchanged

Main idea:

- The gain γ_d is an abstraction of the low level system
- Different low level configurations characterized by the same (or similar) γ_d can be considered
- Actuators can be substituted/added provided that γ_d does not change. Otherwise a new “attenuation constraint” is added to the MPC problem
- In both cases (actuator substitution and addition) the auxiliary control law can be left (essentially) unchanged

Thus, reconfigurability properties are achieved !

Remark: the resulting control system switches among different stable configurations. Stability is preserved if proper dwell-time is guaranteed



Example

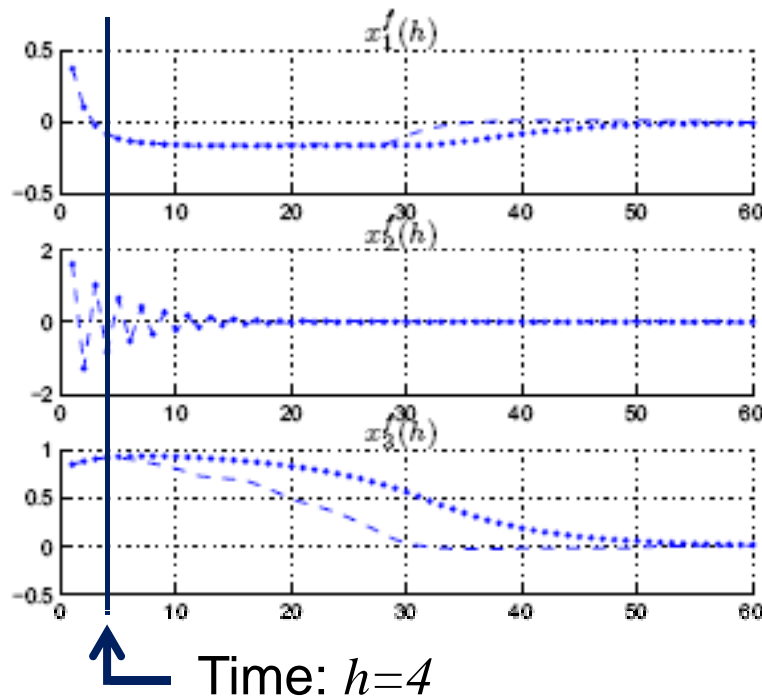
20

Process (basic configuration):

$$x^f(h+1) = \begin{bmatrix} 0.4 & 0 & 0 \\ 0 & -0.8 & 0 \\ 0 & 0 & 1.1 \end{bmatrix} x^f(h) + \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} u_1^f(h) + \begin{bmatrix} 3 \\ 1 \\ 0 \end{bmatrix} u_2^f(h).$$

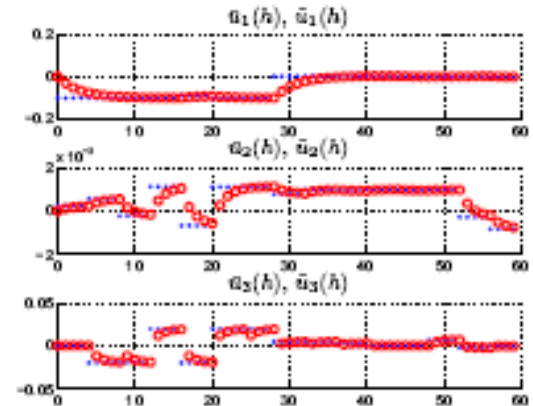
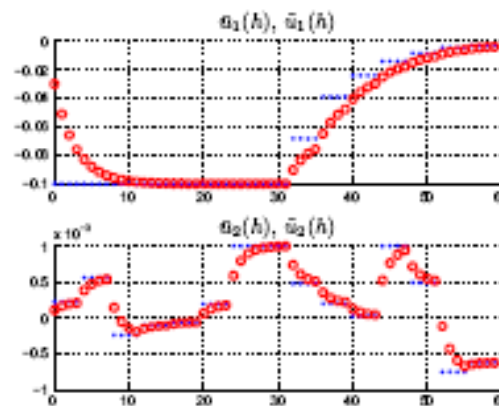
Actuators (low level system gain): $\gamma_d = 0.161$.

Actuator addition: At time $h = 4$, a new actuator is added and $\gamma_d = 0.963 > 0.161$ (the supplementary “attenuation constraint” is needed in MPC).

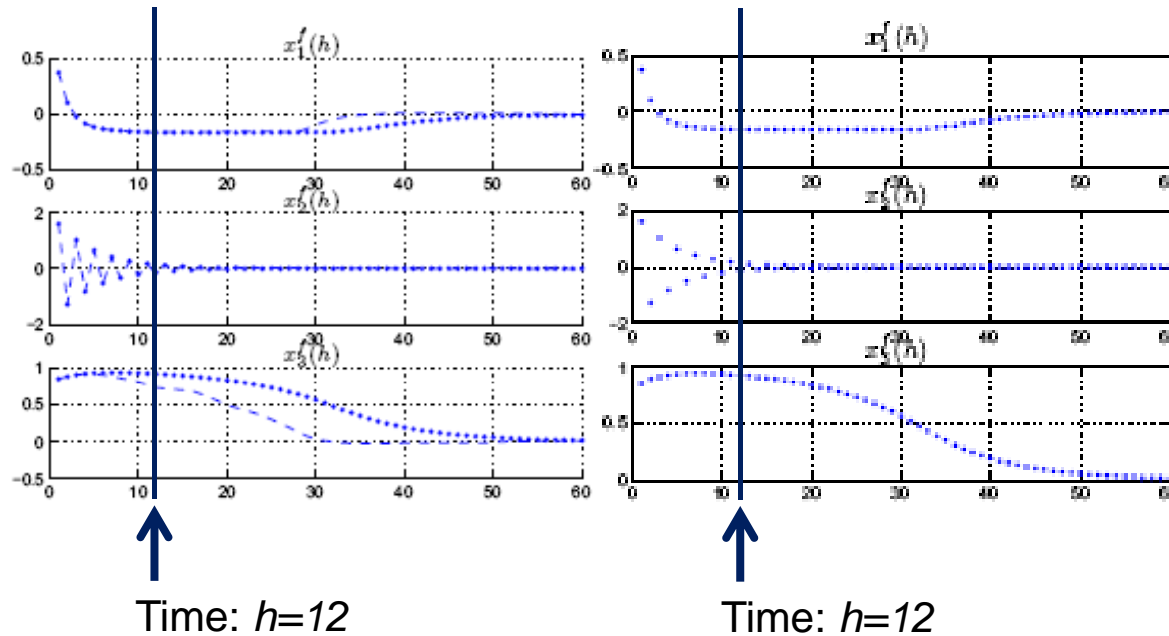


← **State trajectories:** basic configuration (dots) and with the added actuator (dashed line).

↓ **Control reference** vs **effective control action**



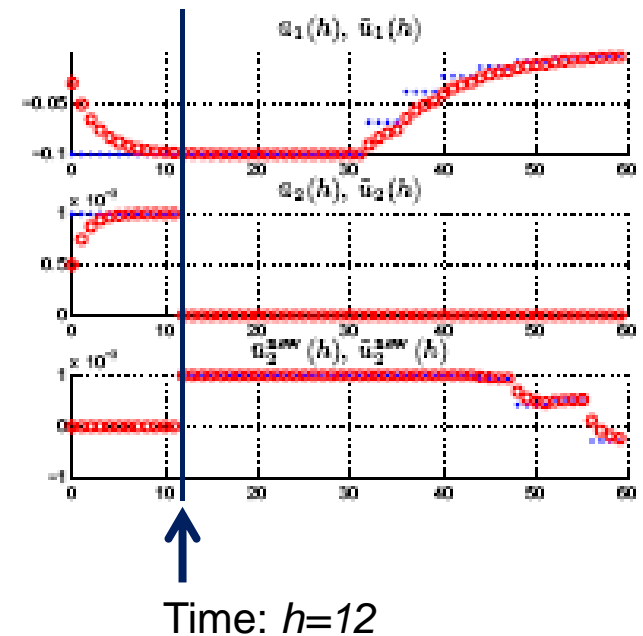
Actuator replacement: At time $h = 12$, the second actuator is replaced with one guaranteeing a better attenuation level ($\gamma_d^{\text{new}} = 0.118 < 0.161$).



State trajectories:

basic configuration,
and added actuator

with the replaced actuator



Control reference

VS

effective control action

A robust MPC approach has been presented for the design of two-layer hierarchical control systems

- For constrained **linear discrete-time** systems
- The robust control approach allows to :
 - largely **decouple** the design at the two levels
 - to abstract subsystems with their *gain* and thus to obtain versatility resulting in numerous extensions (**reconfigurability**, **control allocation** problems, switching control for **performance** improvements)
- **Convergence results** have been established

Papers:

- B. Picasso, D. De Vito, R. Scattolini, P. Colaneri. An MPC approach to the design of two layer hierarchical control systems. *Automatica*, Vol.46(5), pp. 823-831, 2010.
- B. Picasso, C. Romani, R. Scattolini. Tracking control of Wiener models with hierarchical and switching MPC. *Submitted*.
- D. De Vito, B. Picasso, R. Scattolini. On the design of reconfigurable two layer hierarchical control systems with MPC. In *Proceedings of the American Control Conference, Baltimore*, pp. 4704-4712, 2010.



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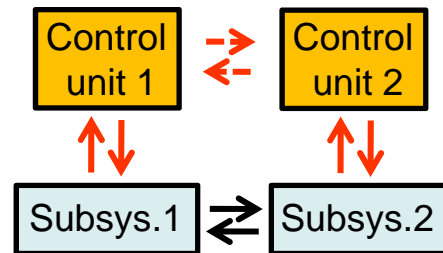
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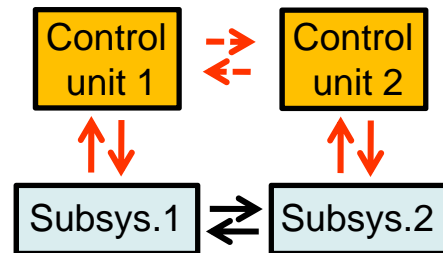




Distributed-MPC methods can be classified [Scattolini '09] according to:

- Communication protocols
 - Neighbor-to-neighbor
 - All-to-all
- Number of iteration to achieve a solution (at each step)
 - Iterative algorithms
 - Non-iterative algorithms
- Cost function to be optimized
 - Cooperative algorithms (common goal)
 - Non-cooperative algorithms (temperature control, ecc...)



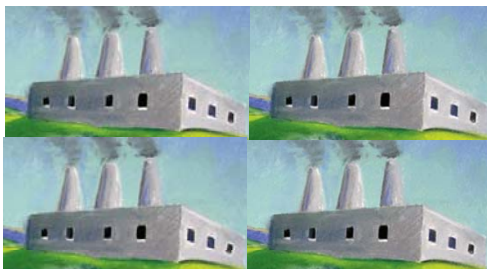


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Most common approaches:

- **Decentralized** MPC:
[Magni-Scattolini '06, Raimondo et al. '07] (ISS perspective) [Alessio-Bemporad '08], [Barcelli-Bemporad '09]
- **Distributed** MPC:
[Dunbar '07] (non-iterative, non cooperative, neighbor-to-neighbor communication);
[Liu et al. '09-'10] (iterative, cooperative);
[Venkat et al. '08, Stewart et al. '10] (possibly iterative, cooperative, output feedback MPC with all-to-all communication);
[Maestre, '09]: (game theory-based, cooperative, iterative approach for linear systems).



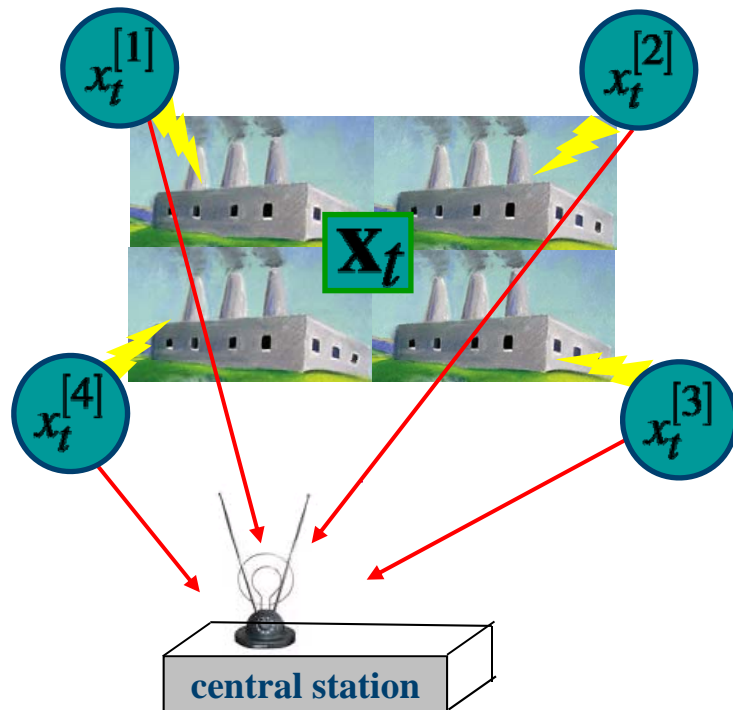
The large-scale system evolves according to the centralized dynamical model:

$$\mathbf{x}_{t+1} = \mathbf{A}\mathbf{x}_t + \mathbf{B}\mathbf{u}_t$$

$\mathbf{x}_t \in \mathbb{X}$ constrained state

$\mathbf{u}_t \in \mathbb{U}$ constrained input





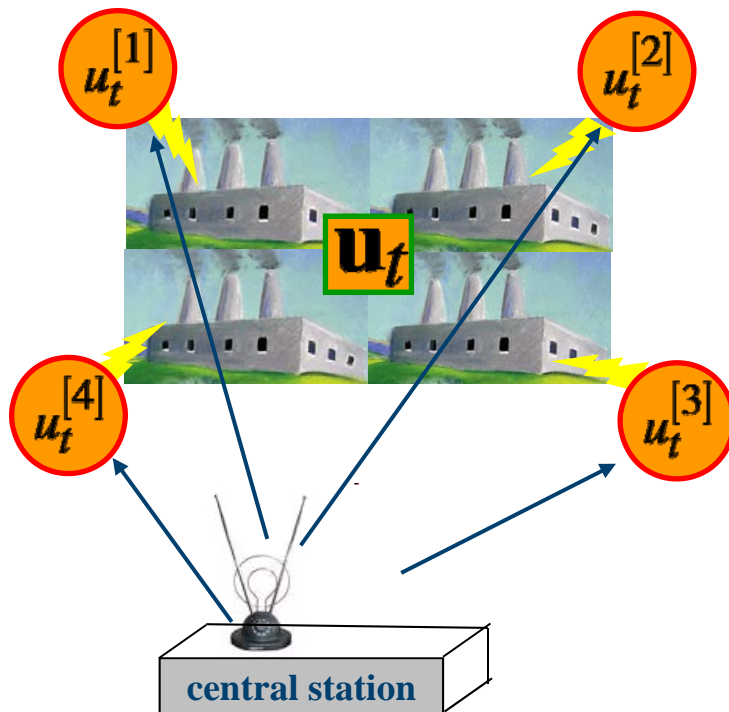
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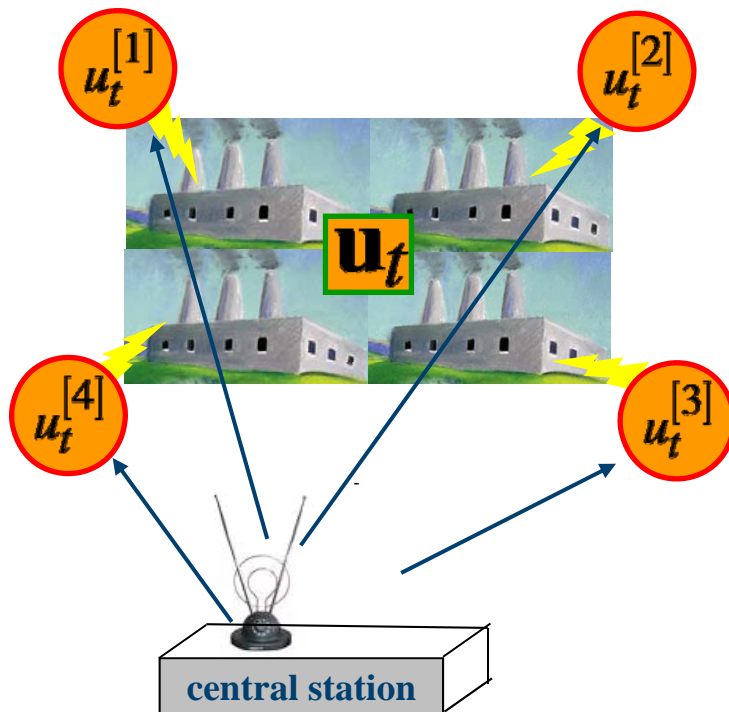
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The large-scale system evolves according to the centralized dynamical model:

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$\mathbf{x}_t \in \mathbb{X}$ constrained state

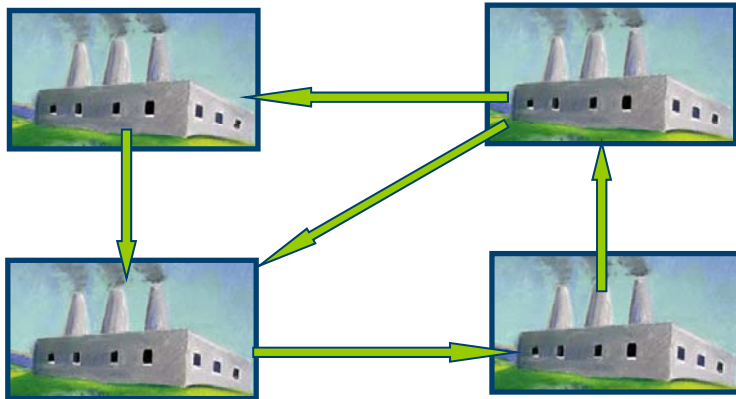
$\mathbf{u}_t \in \mathbb{U}$ constrained input



Aims:

- develop a control algorithm for the process
- use *model predictive control* for optimality and to handle constraints
- solve in parallel 4 small scale optimization problems instead of one large problem
- exploit a neighbor-to-neighbor communication protocol





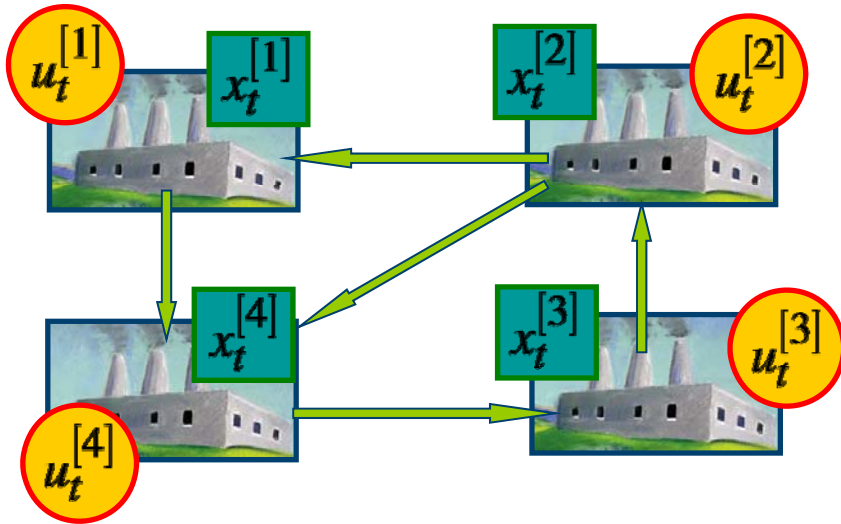
We partition the system into a graph of interconnected M (here $M=4$) low-order models.

$$\mathbf{A} = \begin{bmatrix} A_{11} & A_{12} & A_{13} & A_{14} \\ A_{21} & A_{22} & A_{23} & A_{24} \\ A_{31} & A_{32} & A_{33} & A_{34} \\ A_{41} & A_{42} & A_{43} & A_{44} \end{bmatrix}$$

$$\mathcal{S} : \mathbf{x}_{t+1} = \mathbf{A}\mathbf{x}_t + \mathbf{B}\mathbf{u}_t$$

$$\mathbf{B} = \begin{bmatrix} B_1 & 0 & 0 & 0 \\ 0 & B_2 & 0 & 0 \\ 0 & 0 & B_3 & 0 \\ 0 & 0 & 0 & B_4 \end{bmatrix}$$





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$$\mathcal{S} : \mathbf{x}_{t+1} = \mathbf{A}\mathbf{x}_t + \mathbf{B}\mathbf{u}_t$$

$$\mathcal{S}_i : x_{t+1}^{[i]} = A_{ii}x_t^{[i]} + B_i u_t^{[i]} + \sum_{j \neq i} A_{ij}x_t^{[j]}$$



Large-scale system: $\mathbf{x}_{t+1} = \mathbf{A}\mathbf{x}_t + \mathbf{B}\mathbf{u}_t$

Graph of interconnected M low-order subsystems:

$$x_{t+1}^{[i]} = A_{ii}x_t^{[i]} + B_i u_t^{[i]} + \sum_{j \neq i} A_{ij}x_t^{[j]}$$

$x_t^{[i]} \in \mathbb{X}_i$ local state constraints

$u_t^{[i]} \in \mathbb{U}_i$ local input constraints

$$h_s^{[i]}(x_t^{[i]}, \mathbf{x}_t) \leq 0$$



Large-scale system: $\mathbf{x}_{t+1} = \mathbf{A}\mathbf{x}_t + \mathbf{B}\mathbf{u}_t$

Graph of interconnected M low-order subsystems:

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$$u_t^{[i]} \in \mathbb{U}_i \quad \text{local input constraints}$$

$$h_s^{[i]}(x_t^{[i]}, \mathbf{x}_t) \leq 0$$

Each subsystem i

- has a reference trajectory $\tilde{x}_t^{[i]}$ and guarantees that $x_t^{[i]} - \tilde{x}_t^{[i]} \in \mathcal{E}_i$
- transmits, at each time, the nominal trajectory $\tilde{x}_t^{[i]}$ to its neighbors

$$x_{t+1}^{[i]} = A_{ii}x_t^{[i]} + B_i u_t^{[i]} + \sum_{j \neq i} A_{ij} \tilde{x}_t^{[j]} + \sum_{j \neq i} A_{ij} \overbrace{(x_t^{[j]} - \tilde{x}_t^{[j]})}^{\in \mathcal{E}_j}$$



Large-scale system: $\mathbf{x}_{t+1} = \mathbf{A}\mathbf{x}_t + \mathbf{B}\mathbf{u}_t$

Graph of interconnected M low-order subsystems:

$$x_{t+1}^{[i]} = A_{ii}x_t^{[i]} + B_i u_t^{[i]} + \sum_{j \neq i} A_{ij} x_t^{[j]}$$

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$$\begin{aligned} x_{t+1}^{[i]} &= A_{ii}x_t^{[i]} + B_i u_t^{[i]} + \sum_{j \neq i} A_{ij} \tilde{x}_t^{[j]} + \underbrace{\sum_{j \neq i} A_{ij} (x_t^{[j]} - \tilde{x}_t^{[j]})}_{\in \mathcal{E}_j} \\ &= A_{ii}x_t^{[i]} + B_i u_t^{[i]} + \sum_{j \neq i} A_{ij} \tilde{x}_t^{[j]} + w_t^{[i]} \end{aligned}$$

$w_t^{[i]} \in \mathbb{W}_i = \bigoplus_{j \neq i} A_{ij} \mathcal{E}_j$

constrained disturbance



DPC relies on the solution of M robust MPC problems (i -DPC) with the tube-based approach presented in [Mayne, Seron, Raković, *Automatica*, 2005]

i -th “perturbed” model:

$$x_{t+1}^{[i]} = A_{ii}x_t^{[i]} + B_i u_t^{[i]} + \sum_{j \neq i} A_{ij} \tilde{x}_t^{[j]} + w_t^{[i]}$$

i -th nominal model:

$$\hat{x}_{t+1}^{[i]} = A_{ii}\hat{x}_t^{[i]} + B_i \hat{u}_t^{[i]} + \sum_{j \neq i} A_{ij} \tilde{x}_t^{[j]}$$

Assign $u_t^{[i]} = \hat{u}_t^{[i]} + K_i^{aux}(x_t^{[i]} - \hat{x}_t^{[i]})$

Define $z_t^{[i]} = x_t^{[i]} - \hat{x}_t^{[i]}$

$$\Rightarrow z_{t+1}^{[i]} = (A_{ii} + B_i K_i^{aux})z_t^{[i]} + w_t^{[i]} \quad w_t^{[i]} \in \mathbb{W}_i$$

If $(A_{ii} + B_i K_i^{aux})$ is as. stable, there exists a RPI (robust positively invariant) set Z_i for all i . Therefore

$$x_t^{[i]} - \hat{x}_t^{[i]} \in Z_i \quad \Rightarrow \quad x_k^{[i]} - \hat{x}_k^{[i]} \in Z_i \text{ for all } k \geq t$$



MAIN UNDERLYING IDEA

Guarantee that

$$\hat{x}_{t+k}^{[i]} - \tilde{x}_{t+k}^{[i]} \in E_i, k = 0, \dots, N-1$$

$$x_t^{[i]} - \hat{x}_t^{[i]} \in Z_i$$

Guaranteed by suitable constraints in the optimization problem

$$\text{where } E_i \oplus Z_i \subseteq \mathcal{E}_i$$

➡ At time t :

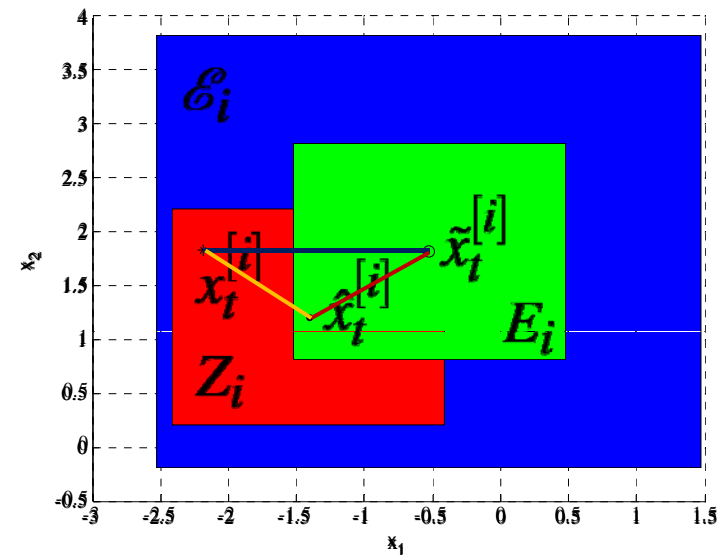
$$x_t^{[i]} - \tilde{x}_t^{[i]} = (x_t^{[i]} - \hat{x}_t^{[i]}) + (\hat{x}_t^{[i]} - \tilde{x}_t^{[i]}) \in \mathcal{E}_i$$

$$w_t^{[i]} = \sum_{j \neq i} A_{ij}(x_t^{[j]} - \tilde{x}_t^{[j]}) \in \bigoplus_{j \neq i} A_{ij} \mathcal{E}_j = \mathbb{W}_i$$

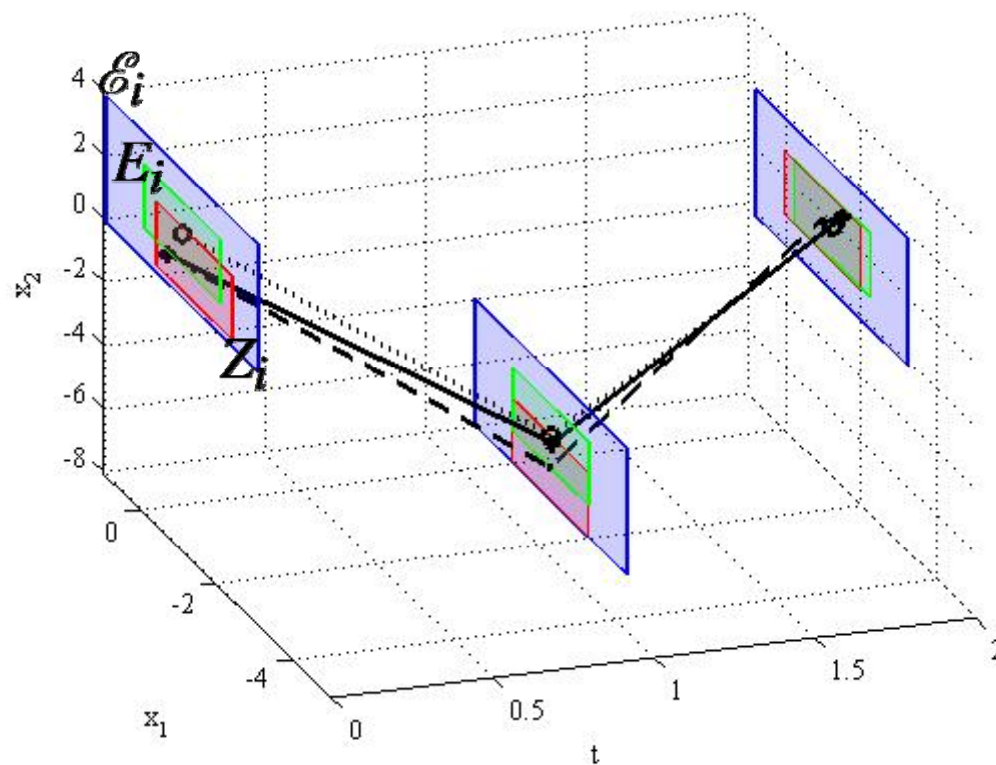
➡ $x_{t+1}^{[i]} - \hat{x}_{t+1}^{[i]} \in Z_i$

By induction:

$$x_{t+k}^{[i]} - \hat{x}_{t+k}^{[i]} \in Z_i, k = 1, \dots, N$$



— : $x_t^{[i]}$
 - - - : $\hat{x}_t^{[i]}$
 - - - : $\tilde{x}_t^{[i]}$



- Solve M tube-based robust MPC problems (i -DPC), with dynamic constraints:

$$\hat{x}_{t+1}^{[i]} = A_{ii}\hat{x}_t^{[i]} + B_i\hat{u}_t^{[i]} + \sum_{j \neq i} A_{ij}\tilde{x}_t^{[j]}$$

- Coupling variables are the reference trajectories $\tilde{x}_k^{[i]}$ (known in all the prediction horizon $k=t, \dots, t+N-1$)

- Further constraint on the solution of the i -DPC:

$$\hat{x}_{t+k}^{[i]} - \tilde{x}_{t+k}^{[i]} \in E_i, k = 0, \dots, N-1$$

$$x_t^{[i]} - \hat{x}_t^{[i]} \in Z_i$$

- Solution: $\hat{x}_{t/t}^{[i]}, \{\hat{u}_{k/t}^{[i]}\}_{k=t}^{t+N-1}$

➡ input to the real system: $u_t^{[i]} = \hat{u}_{t/t}^{[i]} + K_i^{aux}(x_t^{[i]} - \hat{x}_{t/t}^{[i]})$

➡ reference trajectory update: $\tilde{x}_{t+N}^{[i]} = \hat{x}_{t+N/t}^{[i]}$



The optimization problem at time t

Given - the ref. trajectory of i : $\tilde{x}_k^{[i]}, k = t, \dots, t + N - 1$

- the ref. trajectories of its neighbors: $\tilde{x}_k^{[j]}, k = t, \dots, t + N - 1$

$$\min_{\hat{x}_t^{[i]}, \{\hat{u}_k^{[i]}\}_{k=t}^{t+N-1}} \sum_{k=t}^{t+N-1} l_i(\hat{x}_k^{[i]}, \hat{u}_k^{[i]}) + V_i^F(\hat{x}_{t+N}^{[i]})$$

subject to

$$\begin{aligned} \hat{x}_{t+1}^{[i]} &= A_{ii}\hat{x}_t^{[i]} + B_i\hat{u}_t^{[i]} + \sum_{j \neq i} A_{ij}\tilde{x}_t^{[j]} \\ x_t^{[i]} - \hat{x}_t^{[i]} &\in Z_i \\ \hat{x}_k^{[i]} - \tilde{x}_k^{[i]} &\in E_i \quad k = t, \dots, t + N - 1 \end{aligned}$$

$$x_k^{[i]} \in \mathbb{X}_i \quad \Rightarrow \quad \hat{x}_k^{[i]} \in \hat{\mathbb{X}}_i$$

$$\hat{\mathbb{X}}_i \oplus Z_i \subseteq \mathbb{X}_i$$

local state constraint

$$u_k^{[i]} \in \mathbb{U}_i \quad \Rightarrow \quad \hat{u}_k^{[i]} \in \hat{\mathbb{U}}_i$$

$$\hat{\mathbb{U}}_i \oplus KZ_i \subseteq \mathbb{U}_i$$

input constraint

$$h_s^{[i]}(x_k^{[i]}, \mathbf{x}_k) \leq 0 \quad \Rightarrow \quad \hat{h}_s^{[i]}(\hat{x}_k^{[i]}, \tilde{\mathbf{x}}_k) \leq 0$$

coupled state constraint

$$x_{t+N}^{[i]} \in \mathbb{X}_i^F \quad \Rightarrow \quad \hat{x}_{t+N}^{[i]} \in \hat{\mathbb{X}}_i^F$$

$$\hat{\mathbb{X}}_i^F \oplus Z_i \subseteq \mathbb{X}_i^F$$

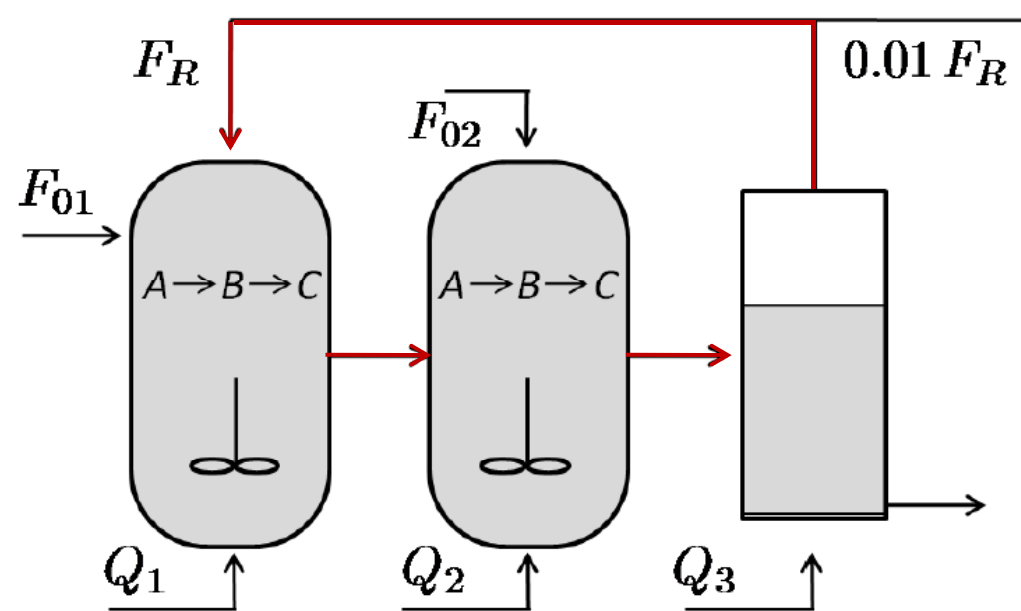
terminal constraint



1. Assign suitable decentralized stabilizing auxiliary control law.
2. Define suitable i -DPC optimization problem cost functions.
3. Define the sets \mathcal{E}_i, E_i, Z_i .
4. Initialize the reference trajectory and the set a suitable value for the prediction horizon N .



Example: Chemical plant – reactor/separator process [Liu et al. 2010]



The model is developed under the assumption of hydraulic equilibrium

States for each subsystem:

- x_{Ai} : Concentration of compound A
- x_{Bi} : Concentration of compound B
- T_i : Temperature of subsystem i

Inputs for each subsystem:

- Q_i : Heat

We use the linearized model around a given equilibrium point



Example: Chemical plant – reactor/separator process

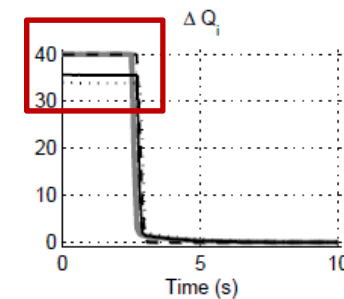
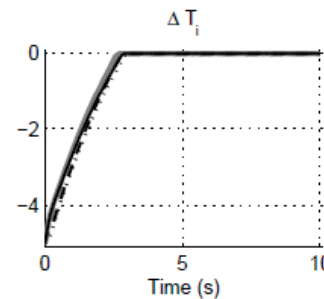
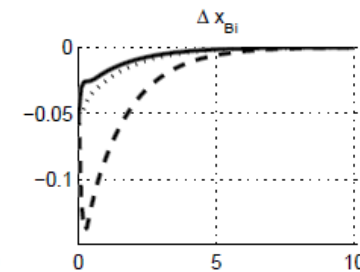
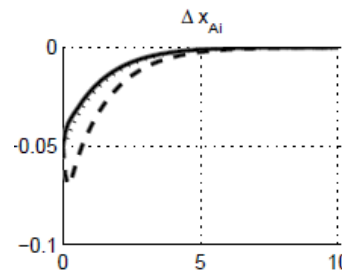
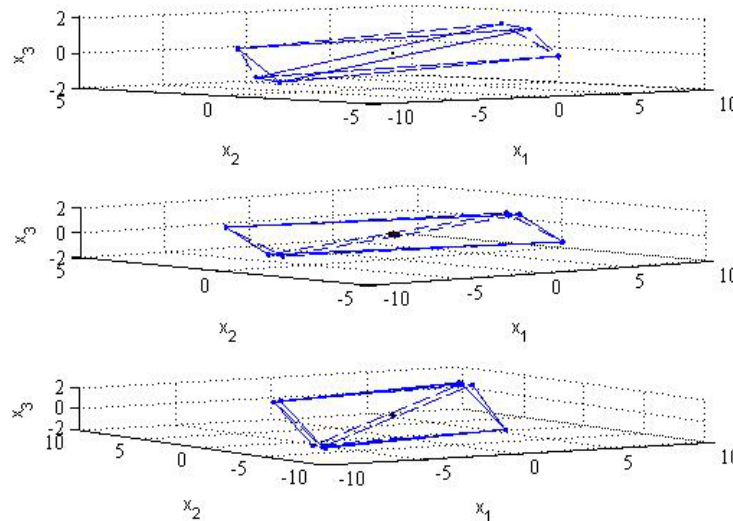
We study the response of linearized model to a perturbation of magnitude

$$\begin{bmatrix} \Delta x_{Ai} \\ \Delta x_{Bi} \\ \Delta T_i \end{bmatrix} = \begin{bmatrix} -0.05 \\ -0.05 \\ -5 \end{bmatrix}$$

Input constraints: $0 \leq Q_i \leq 50$



$-10 \leq \Delta Q_i \leq 40$



A distributed predictive control algorithm has been presented

- For **linear discrete-time** systems
- A **large scale control problem** has been subdivided into M low order, almost independent subproblems
- **Non cooperative algorithm**: each subsystem minimizes a local cost function
- **Neighbor-to-neighbor transmission** is required: low transmission burden
- Only **local knowledge on the systems** dynamics is required
- The algorithm is highly **scalable**: transmission, memory and computational loads do not grow.
- **Constraints** on state and input variables (local and global) can be handled
- **Convergence results** can be established



Advances:

- Efficient algorithms for the initialization of DPC
- Output feedback DPC
- Extension for coping with non input-decoupled systems (B is not block diagonal)

Wide area of application of DPC:

- Independent systems with coupled constraints (e.g., transportation network)
- Cascade systems (e.g., simplified model of an HPV)
- Chemical plants with relevant couplings and feedbacks

Future developments:

- Explore applications in a plug-and-play architecture
- DPC for tracking

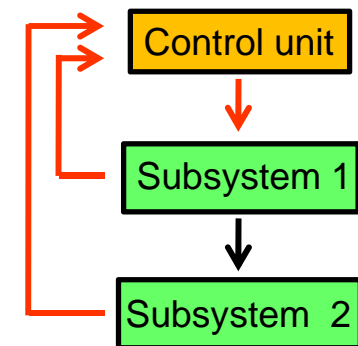
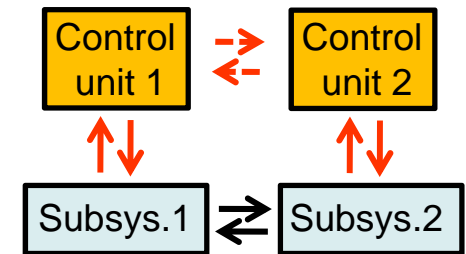
Papers:

- M. Farina, R. Scattolini. Distributed non-cooperative MPC with neighbor-to-neighbor communication. *Proceedings of the IFAC World Conference, 2011.*
- M. Farina, R. Scattolini. Distributed predictive control: a non-cooperative algorithm with neighbor-to-neighbor communication for linear systems. Submitted.
- M. Farina, R. Scattolini. An output feedback distributed predictive control algorithm. To appear in *Proceedings of the IEEE Conference on Decision and Control 2011.*



Motivations for **distributed** / **hierarchical** control:

- Reduce the computational load
- Reduce the communication load
- Improve the robustness with respect to failures
 - in the transmission of information
 - in the central control unit
- Improve the modularity and the flexibility of the system
- Consider different goals at different time scales (Real-Time Optimization)
- Synchronize subsystems working at different time scales



Both for **distributed and **hierarchical** control systems, **robust control** turns out to be a suitable tool to deal with the main issues concerned with large-scale and complex systems.**

